

# FIPS 140-2 Non-Proprietary Security Policy

# Acme Packet 4600 and Acme Packet 6300 and Acme Packet 6350

FIPS 140-2 Level 1 Validation

Hardware Version(s): 4600, 6300, 6350 with Dual NIU and 6350 with Quad NIU

Firmware Version: S-Cz8.4

Date: May 24, 2021



Title: Acme Packet 4600 and Acme Packet 6300 and Acme Packet 6350 Non-Proprietary Security Policy

Date: May 21st, 2021

Author: Acumen Security, LLC.

**Contributing Authors:** 

**Oracle Communications Engineering** 

Oracle Security Evaluations - Global Product Security

**Oracle Corporation** 

World Headquarters 500 Oracle Parkway Redwood Shores, CA 94065 U.S.A. Worldwide Inquiries:

Phone: +1.650.506.7000 Fax: +1.650.506.7200

oracle.com



Oracle is committed to developing practices and products that help protect the environment

Copyright © 2021, Oracle and/or its affiliates. All rights reserved. This document is provided for information purposes only and the contents hereof are subject to change without notice. This document is not warranted to be error-free, nor subject to any other warranties or conditions, whether expressed orally or implied in law, including implied warranties and conditions of merchantability or fitness for a particular purpose. Oracle specifically disclaim any liability with respect to this document and no contractual obligations are formed either directly or indirectly by this document. This document may reproduced or distributed whole and intact including this copyright notice.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Hardware and Software, Engineered to Work Together



## **TABLE OF CONTENTS**

Section Title		Page
1.	Introduction	1
1.1	Overview	1
1.2	Document Organization	1
2.	Acme Packet 4600, Acme Packet 6300 and Acme Packet 6350	2
2.1	Functional Overview	2
3.	Cryptographic Module Specification	3
3.1	Definition of the Cryptographic Modules	3
3.2	FIPS 140-2 Validation Scope	4
3.3	Approved or Allowed Security Functions	4
3.4	Non-Approved But Allowed Security Functions	7
3.5	Non-Approved Security Functions and Services	7
3.6	Vendor Affirmed Security Functions	8
4.	Module Ports and Interfaces	
5.	Physical Security	19
6.	Operational Environment	20
7.	Roles and Services	21
7.1	Operator Services and Descriptions	21
7.2	Unauthenticated Services and Descriptions	24
7.3	Operator Authentication	25
	7.3.1 Password-Based Authentication	
	7.3.2 Public key-Based Authentication	
7.4	,	
8.	Self-Tests	
8.1	Power-Up Self-Tests	
	8.1.2 Mocana Cryptographic Library Self-Tests	34
	8.1.3 Oracle Acme Packet Cryptographic Library Self-Tests	
	8.1.4 Nitrox Self-Tests	
	8.1.6 Octeon III Self-tests	
8.2	Critical Functions Self-Tests	35
8.3	Conditional Self-Tests	35
9.	Crypto-Officer and User Guidance	37
9.1	Secure Setup and Initialization	37
9.2	AES-GCM IV Construction/Usage	38
10.	Mitigation of Other Attacks	39
Acre	ronyms Terms and Abbreviations	40
Ref	ferences	41



# List of Tables

Table 1: FIPS 140-2 Security Requirements	4
Table 2: FIPS Approved and Allowed Security Functions for Oracle Acme Packet Cryptographic Library	5
Table 3: FIPS Approved and Allowed Security Functions for Oracle Acme Packet Mocana Cryptographic Lib	orary 6
Table 4: FIPS Approved and Allowed Security Functions for Cavium Nitrox	6
Table 5: FIPS Approved and Allowed Security Functions for Cavium Octeon II (AP 4600, AP 6300, AP 6350 I	
Table 6: FIPS Approved and Allowed Security Functions for Cavium Octeon III (AP 6350 Quad NIU)	
Table 7: Non-Approved but Allowed Security Functions	
Table 8: Non-Approved Disallowed Functions	
Table 9: Vendor Affirmed Functions	
Table 10: Mapping of FIPS 140 Logical Interfaces to Physical Ports	
Table 11: Physical Ports	
Table 12: Mapping of FIPS 140 Logical Interfaces to Physical ports	
Table 13: Physical Ports	
Table 14: Mapping of FIPS 140 Logical Interfaces to Physical ports	
Table 15: Physical Ports Acme Packet 6350 with a Quad NIU	
Table 16: Service Summary	
Table 17: Operator Services and Descriptions	
Table 18: Operator Services and Descriptions	
Table 19: Password based Authentication	
Table 20: Public key-Based Authentication	
Table 21: CSP Table	
Table 22: Acronyms	
Table 23: References	
List of Figures	
Figure 1: Acme Packet 4600	
Figure 2: Acme Packet 6300	
Figure 3: Acme Packet 6350	
Figure 4: Acme Packet 4600 - Front View	
Figure 5: Acme Packet 4600 - Rear View	
Figure 6: Acme Packet 6300 - Front View	
Figure 7: Acme Packet 6300 - Rear View	
Figure 8: Acme Packet 6350 with a Dual NIU - Front View	
Figure 9: Acme Packet 6350 with a Dual NIU - Rear View	
Figure 10: Acme Packet 6350 with a Quad NIU - Front View	
Figure 11: Acme Packet 6350 with a Quad NIU - Rear View	19



#### 1. Introduction

#### 1.1 Overview

This document is the Security Policy for the Acme Packet 4600, the Acme Packet 6300 and the Acme Packet 6350 appliances manufactured by Oracle Communications, a business unit within Oracle Corporation. Oracle Communications are legally bound by the rules of Oracle Corporation as this is the legal entity. Acme Packet 4600, the Acme Packet 6300 and the Acme Packet 6350 are also referred to as "the module" or "modules". This Security Policy specifies the security rules under which the module shall operate to meet the requirements of FIPS 140-2 Level 1. It also describes how the Acme Packet 4600, the Acme Packet 6300 and the Acme Packet 6350 appliances function in order to meet the FIPS requirements, and the actions that operators must take to maintain the security of the modules.

This Security Policy describes the features and design of the Acme Packet 4600, the Acme Packet 6300 and the Acme Packet 6350 modules using the terminology contained in the FIPS 140-2 specification. FIPS 140-2, Security Requirements for Cryptographic Modules specifies the security requirements that will be satisfied by a cryptographic module utilized within a security system protecting sensitive but unclassified information. The NIST/CCCS Cryptographic Module Validation Program (CMVP) validates cryptographic modules to FIPS 140-2. Validated products are accepted by the Federal agencies of both the USA and Canada for the protection of sensitive or designated information.

#### 1.2 Document Organization

The Security Policy document is one document in a FIPS 140-2 Submission Package. The Submission Package contains:

- Oracle Non-Proprietary Security Policy
- Oracle Vendor Evidence document
- Finite State Machine
- Entropy Assessment Document
- Other supporting documentation as additional references

With the exception of this Non-Proprietary Security Policy, the FIPS 140-2 Validation Documentation is proprietary to Oracle and is releasable only under appropriate non-disclosure agreements. For access to these documents, please contact Oracle.



### 2. Acme Packet 4600, Acme Packet 6300 and Acme Packet 6350

#### 2.1 Functional Overview

The Acme Packet 4600, the Acme Packet 6300 and the Acme Packet 6350 appliances are specifically designed to meet the unique price performance and manageability requirements of the small to medium sized enterprise and remote office/ branch office. Ideal for small site border control and Session Initiation Protocol (SIP) trunking service termination applications, the Acme Packet 4600, the Acme Packet 6300 and the Acme Packet 6350 appliances deliver Oracle's industry leading ESBC capabilities in a small form factor appliance. With support for high availability (HA) configurations, hardware assisted transcoding and Quality of Service (QoS) measurement, the Acme Packet 4600, the Acme Packet 6300 and the Acme Packet 6350 appliances are a natural choice when uncompromising reliability and performance are needed in an entry-level appliance. With models designed for the smallest branch office to the largest data center, the Acme Packet ESBC product family supports distributed, centralized, or hybrid SIP trunking topologies.

Acme Packet 4600, Acme Packet 6300 and Acme Packet 6350 appliances address the unique connectivity, security, and control challenges enterprises often encounter when extending real-time voice, video, and UC sessions to smaller sites. The appliances also helps enterprises contain voice transport costs and overcome the unique regulatory compliance challenges associated with IP telephony. TDM fallback capabilities ensure continuous dial out service at remote sites in the event of WAN or SIP trunk failures. Stateful high availability configurations protect against link and hardware failures. An embedded browser based graphical user interface (GUI) simplifies setup and administration.



## 3. Cryptographic Module Specification

### 3.1 Definition of the Cryptographic Modules

The modules consists of the Acme Packet 4600, the Acme Packet 6300, the Acme Packet 6350 appliances running firmware version S-Cz8.4. Please note that Acme Packet 6350 supports two hardware configurations (6350 with Dual NIU and 6350 with Quad NIU). The hardware platforms that correspond to each of the tested modules are 4600, 6300, 6350 with Dual NIU and 6350 with Quad NIU. The modules are classified as a multi-chip standalone cryptographic module. The physical cryptographic boundary for the Acme Packet 4600, Acme Packet 6300, Acme Packet 6350 with a Dual NIU and Acme Packet 6350 with a Quad NIU are all components with exception of the removable power supplies. A representation of the cryptographic boundary is defined as the chassis of the module as shown in the Figures below:



Figure 1: Acme Packet 4600



Figure 2: Acme Packet 6300

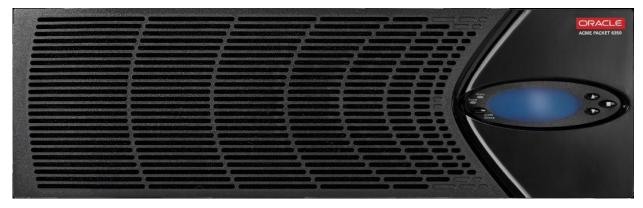


Figure 3: Acme Packet 6350



#### 3.2 FIPS 140-2 Validation Scope

The Acme Packet 4600 and Acme Packet 6300 and Acme Packet 6350 appliances are being validated to overall FIPS 140-2 Level 1 requirements. See Table 1 below.

Security Requirements Section	Level
Cryptographic Module Specification	1
Cryptographic Module Ports and Interfaces	1
Roles and Services and Authentication	2
Finite State Machine Model	1
Physical Security	1
Operational Environment N/A	
Cryptographic Key Management 1	
EMI/EMC 1	
Self-Tests 1	
Design Assurance 3	
Mitigation of Other Attacks N/A	

Table 1: FIPS 140-2 Security Requirements

### 3.3 Approved or Allowed Security Functions

The appliances contain the FIPS Approved Algorithms listed in Table 2 (Oracle Acme Packet Cryptographic Library Acme Packet 4600, 6300 and 6350), Table 3 (Oracle Acme Packet Mocana Cryptographic Library Acme Packet 4600, 6300 and 6350), Table 4 (Cavium Nitrox) and Table 5 (Cavium Octeon II). Additionally, the Acme Packet 6350 with a Quad NIU [4] contains the FIPS Approved Algorithms listed in Table 6 (Cavium Octeon III):

	Approved or Allowed Security Functions	Cert#
Symmetric Alg	orithms	
AES	CBC, ECB, GCM, GMAC; Encrypt/Decrypt; Key Size = 128, 256 CTR; Encrypt; Key Size = 128,256	<u>C1844</u>
Triple DES <sup>1</sup>	CBC; Encrypt/Decrypt; Key Size = 192	<u>C1844</u>
Secure Hash S	tandard (SHS)	· ·
SHS	SHA-1, SHA-256, SHA-384, SHA-512	<u>C1844</u>
Data Authenti	cation Code	
HMAC	HMAC-SHA-1, HMAC-SHA-256, HMAC-SHA-384, HMAC-SHA-512	<u>C1844</u>
Asymmetric A	lgorithms	·
RSA	RSA: FIPS186-4:  186-4 KEY(gen): FIPS186-4_Random_e (2048)  ALG[ANSIX9.31] SIG(gen) (2048 SHA(1, 256, 384)  ALG[ANSIX9.31] SIG(Ver) (2048 SHA(1, 256, 384))  RSA: FIPS186-2:  ALG[ANSIX9.31] SIG(gen) (4096 SHA (256,384))  ALG[ANSIX9.31] SIG(Ver) (2048 SHA(1, 256, 384)), (4096 SHA (1, 256, 384))	<u>C1844</u>

<sup>&</sup>lt;sup>1</sup> Per IG A.13 the same Triple-DES key shall not be used to encrypt more than 2^20 64-bit blocks of data.

\_



	Approved or Allowed Security Functions	Cert#
ECDSA <sup>2</sup>	Firmware: FIPS186-4 KeyGen: (P-256, P-384) SigGen: CURVES (P-256: (SHA-256, 384) P-384: (SHA-256, 384) SigVer: CURVES (P-256: (SHA-256, 384) P-384: (SHA-256, 384))	<u>C1844</u>
Random Numbe	r Generation	1
DRBG	Firmware: CTR_DRBG: [ Prediction Resistance Tested: Not Enabled; BlockCipher_Use_df: (AES-256)] Hash_Based DRBG: [ Prediction Resistance Tested: Not Enabled (SHA-1)	<u>C1844</u>
Key Establishme	nt	
Key Derivation (CVL)	Firmware: SSH KDF, SNMP KDF, SRTP KDF, TLS KDF	<u>C1844</u>
Key Transport		•
KTS	KTS (AES Cert. #C1844 and HMAC Cert. #C1844; key establishment methodology provides 128 or 256 bits o encryption strength) – AES modes: CBC/CTR/GCM (128-bit and 256-bit). KTS (Triple-DES Cert. #C1844 and HMAC Cert. #C1844; key establishment methodology provides 112 bits of encryption strength)	

Table 2: FIPS Approved and Allowed Security Functions for Oracle Acme Packet Cryptographic Library

	Approved or Allowed Security Functions	Cert #
Symmetric Algo	rithms	•
AES	CBC; Encrypt/Decrypt; Key Size = 128, 192, 256	<u>C1854</u>
	CTR; Encrypt; Key Size = 128,256	
Triple DES <sup>3</sup>	CBC; Encrypt/Decrypt; Key Size = 192	<u>C1854</u>
Secure Hash Sta	ndard (SHS)	<u> </u>
SHS	SHA-1, SHA-256, SHA-384, SHA-512	<u>C1854</u>
Data Authentica	ntion Code	-
НМАС	HMAC-SHA-1, HMAC-SHA-256, HMAC-SHA-384, HMAC-SHA-512	<u>C1854</u>
Asymmetric Alg	orithms	
RSA	RSA: 186-4:	<u>C1854</u>
	186-4 KEY(gen): FIPS186-4_Random_e (2048)	
	ALG [PKCS1.5]: SIG(Ver) (1024 SHA(1); (2048 SHA (1))	
Key Establishme	ent	
Key Derivation <sup>4</sup>	SSH KDF, IKEv1/IKEv2 KDF	<u>C1854</u>
(CVL)		
Key Transport		

<sup>&</sup>lt;sup>2</sup> ECDSA P-384 was CAVP tested but is not utilized by the services associated with the Oracle Acme Packet Cryptographic Library.

Acme Packet 4600, Acme Packet 6300 and Acme Packet 6350

<sup>&</sup>lt;sup>3</sup> Per IG A.13 the same Triple-DES key shall not be used to encrypt more than 2^20 64-bit blocks of data.

<sup>&</sup>lt;sup>4</sup> SSH KDF in the Mocana Cryptographic Library was tested but is not utilized by the module's services



KTS	KTS (AES Cert. #C1854 and HMAC Cert. #C1854; key establishment methodology provides between 128
	and 256 bits of encryption strength) – AES modes: CBC (128-bit, 192-bit and 256-bit) and CTR (128-bit and 256-
	bit).
	KTS (Triple-DES Cert. #C1854 and HMAC Cert. #C1854; key establishment methodology provides 112 bits of
	encryption strength)

Table 3: FIPS Approved and Allowed Security Functions for Oracle Acme Packet Mocana Cryptographic Library

	Approved or Allowed Security Functions	Cert #
Symmetric Algorithms		
AES	CBC; Encrypt/Decrypt; Key Size = 128, 256	<u>5257</u>
Triple DES <sup>5</sup>	CBC; Encrypt/Decrypt; Key Size = 192	<u>2659</u>
CVL		
CVL	RSADP, Mod Size 2048	<u>1728</u>

**Table 4: FIPS Approved and Allowed Security Functions for Cavium Nitrox** 

	Approved or Allowed Security Functions	Cert #	
Symmetric Algor	Symmetric Algorithms		
AES	ECB; Encrypt/Decrypt; Key Size = 128 CTR; Encrypt; Key Size = 128	<u>5256</u>	
Key Establishme	Key Establishment		
Key Derivation (CVL)	SRTP KDF	<u>1727</u>	

Table 5: FIPS Approved and Allowed Security Functions for Cavium Octeon II (AP 4600, AP 6300, AP 6350 Dual NIU)

	Approved or Allowed Security Functions	Cert#
Symmetric Alg	orithms	
AES	CBC, ECB, GCM, GMAC; Encrypt/Decrypt; Key Size = 128, 192, 256	<u>3301</u>
Triple DES <sup>6</sup>	CBC, ECB; Encrypt/Decrypt; Key Size = 192	<u>1881</u>
Secure Hash St	candard (SHS)	
SHS <sup>7</sup>	SHA-1, SHA-224, SHA-256, SHA-384, SHA-512	<u>2737</u>
Data Authenti	cation Code	
HMAC <sup>8</sup>	HMAC-SHA-1, HMAC-SHA-224, HMAC-SHA-256, HMAC-SHA-384, HMAC-SHA-512	2095
Asymmetric Al	gorithms	
RSA	RSA: FIPS186-4: ALG[PKCS 1.5] SIG(gen) (2048 SHA (224, 256, 384, 512)), 3072 SHA (224, 256, 384,512)) ALG[PKCS 1.5] SIG(Ver) (2048 SHA (224, 256, 384, 512)), 3072 SHA (224, 256, 384,512))	<u>1745</u>
Random Numl		

<sup>&</sup>lt;sup>5</sup> Per IG A.13 the same Triple-DES key shall not be used to encrypt more than 2^20 64-bit blocks of data.

 $<sup>^{6}</sup>$  Per IG A.13 the same Triple-DES key shall not be used to encrypt more than 2^20 64-bit blocks of data.

<sup>&</sup>lt;sup>7</sup> SHA-224 was CAVP tested but is not utilized by the module's services associated with the Cavium Octeon III Cryptographic Library.

<sup>&</sup>lt;sup>8</sup> HMAC-SHA-224 was CAVP tested but is not utilized by the module's services associated with the Cavium Octeon III Cryptographic Library.



	Approved or Allowed Security Functions	Cert#
DRBG <sup>9</sup>	CTR_DRBG: [ Prediction Resistance Tested: Not Enabled; BlockCipher_Use_df: (AES-256)] Hash_Based DRBG: [ Prediction Resistance Tested: Not Enabled (SHA-512)	<u>819</u>
Key Establishme	nt	
Key Derivation (CVL)	SRTP KDF, TLS KDF	<u>C1845</u>

Table 6: FIPS Approved and Allowed Security Functions for Cavium Octeon III (AP 6350 Quad NIU)

## 3.4 Non-Approved But Allowed Security Functions

The following are considered non-Approved but allowed security functions:

Algorithm	Usage
RSA Key Wrapping	Key wrapping, key establishment methodology provides 112-bits of encryption strength
NDRNG	Used for seeding the NIST SP 800-90A Hash_DRBG and CTR_DRBG. Per FIPS 140-2 IG 7.14 scenario 1 (a).  The module provides a minimum of 440 bits of entropy input for the Hash_DRBG. The input length for the CTR_DRBG depends on the size of the AES key used. If the AES key length is 128
	bits, the seed size is 256 bits. If the AES key length is 256 bits, then the seed size is 384 bits.
MD5 (TLS 1.0/1.1/1.2)	MACing: HMAC MD5, Hashing: MD5

**Table 7: Non-Approved but Allowed Security Functions** 

## 3.5 Non-Approved Security Functions and Services

The following services are considered non-Approved and shall not be used in a FIPS-approved mode of operation:

Service	Non-Approved Security Functions
SSH	Asymmetric Algorithms: Diffie-hellman-group1-sha1 Symmetric Algorithms: Rijndael, AES GCM, 192-Bit AES CTR
SNMP	Hashing: MD5, Symmetric Algorithms: DES
SRTP	Hashing: MD5, ARIA_CM (128 bit and 192 bit)
IKEv1/IKEv2	Hashing: MD5, AES XCBC, Symmetric Algorithms: 192-Bit AES CTR
TLS 1.0/1.1/1.2	Symmetric Algorithms: DES
Diffie-Hellman	Key agreement, less than 112 bits of encryption strength.
RSA Key Wrapping	Key wrapping, less than 112 bits of encryption strength.

**Table 8: Non-Approved Disallowed Functions** 

Services listed in the previous table make use non-compliant cryptographic algorithms. Use of these algorithms

<sup>&</sup>lt;sup>9</sup> CTR & Hash\_based DRBGs in the Octeon III were CAVP tested but are not utilized by the module's services associated with the Cavium Octeon III Cryptographic Library



are prohibited in a FIPS-approved mode of operation. These services are allowed in FIPS mode when using allowed algorithms (as specified in section 9.1).

# **3.6 Vendor Affirmed Security Functions**

The following services are considered vendor affirmed security functions:

Algorithm	Vendor Affirmed Security Functions
CKG	In accordance with FIPS 140-2 IG D.12, the cryptographic module performs Cryptographic Key Generation (CKG) as per SP800-133 (vendor affirmed). The resulting generated symmetric keys and the seed used in the asymmetric key generation are the unmodified output from an NIST SP 800-90A DRBG.
KAS-SSC	In accordance with SP 800-56A rev3 Shared Secret Calculation per Scenario X1 of IG D.8 and IG D.1rev3: Key Agreement Scheme Shared Secret Computation (KAS-SSC) for DH (2048 bit) and ECDH (P-256). The module implements the "dhEphem" and "Ephemeral Unified" schemes as specified in Sections 6.1.2.1 and 6.1.2.2 of SP800-56A rev3. The key establishment methodology provides 112 and 128 bits of security strength.

**Table 9: Vendor Affirmed Functions** 



# 4. Module Ports and Interfaces

The module interfaces can be categorized as follows the FIPS 140-2 Standard:

- Data Input Interface
- Data Output Interface
- Control Input interface
- Status Output Interface
- Power Interface

The table below provides a mapping of ports for the Acme Packet 4600:

Logical Interface	Physical Ports	Information Input/Output
Data Input	Ethernet SFP Ports (P0,1,2,3)	Cipher text
	Ethernet RJ-45 ports (P4 and P5)	
	Ethernet MGT Ports	Plain text
	(Mgmt0, Mgmt1, Mgmt2)	
Data Output	Ethernet SFP Ports (P0,1,2,3)	Cipher text
	Ethernet RJ-45 ports (P4 and P5)	
	Ethernet MGT Ports	Plain text
	(Mgmt0, Mgmt1, Mgmt2)	
Control Input	Ethernet SFP Ports (P0,1,2,3)	Plaintext control input via console port
	Ethernet RJ-45 ports (P4 and P5)	(configuration commands, operator
	Console Port	passwords)
	Reset Button	Ciphertext control input via network
	Power Switch	management (EMS control, CDR
	USB Port	accounting, CLI management)
	Ethernet MGT Ports	
	(Mgmt0, Mgmt1, Mgmt2)	
Status Output	Ethernet SFP Ports (P0,1,2,3)	Plaintext status output via console
	Ethernet RJ-45 ports (P4 and P5)	port.
	Console Port	
	Alarm Port	Ciphertext status output via network
	Ethernet MGT Ports (Mgmt0, Mgmt1,	management
	Mgmt2)	
	LEDs	
	LCD	
Power	Power Plug	N/A

**Table 10: Mapping of FIPS 140 Logical Interfaces to Physical Ports** 

The table below provides a mapping of ports for the Acme Packet 4600:

Physical Interface	Number of Ports	Description / Use
Console Port	1	Provides console access to the module. The module supports only one active serial console connection at a time.
		Console port communication is used for administration and maintenance purposes from a central office (CO) location. Tasks conducted over a console port include:
		Configuring the boot process and management network
		<ul> <li>Creating the initial connection to the module</li> </ul>



Physical Interface	Number of Ports	Description / Use
		<ul> <li>Accessing and using functionality available via the ACLI</li> <li>Performing in-lab system maintenance (services described below)</li> <li>Performing factory-reset to zeroize nvram and keys</li> </ul>
Alarm Port	1	Provides status output
USB Ports	1	This port is used for recovery. e.g. system re-installation after zeroization.
Ethernet Management ports	3 (Mgmt0, Mgmt1, Mgmt2)	Used for EMS control, CDR accounting, CLI management, and other management functions
Signaling and Media Ethernet ports	6 (SFP P0,1,2,3 RJ-45 P4, P5)	Provide network connectivity for signaling and media traffic. These ports are also used for incoming and outgoing data (voice) connections.

**Table 11: Physical Ports** 



Figure 4: Acme Packet 4600 - Front View



Figure 5: Acme Packet 4600 - Rear View



The table below provides a mapping of ports for the Acme Packet 6300 and Acme Packet 6350 with a Dual NIU:

Logical Interface	Physical Ports	Information Input/Output
Data Input	Ethernet Ports (Slot 0 P0,1	Cipher text
	and Slot 1 P0,1)	
	Ethernet MGT Ports	Plain text
	(Mgmt0, Mgmt1, Mgmt2)	
Data Output	Ethernet Ports (Slot 0 P0,1	Cipher text
	and Slot 1 P0,1)	
	Ethernet MGT Ports	Plain text
	(Mgmt0, Mgmt1, Mgmt2)	
Control Input	Console Port	Plaintext control input via console port
	Reset Button	(configuration commands, operator passwords)
	Power Switch	Ciphertext control input via network management
	USB Port (only for 6300 but	(EMS control, CDR accounting, CLI management)
	disabled for 6350 with Dual	
	NIU)	
	Ethernet Ports (Slot 0 P0,1	
	and Slot 1 P0,1)	
	Ethernet MGT Ports	
	(Mgmt0, Mgmt1, Mgmt2)	
Status Output	Console Port	Plaintext status output via console port.
	Alarm Port	
	Ethernet Ports (Slot 0 P0,1	Ciphertext status output via network
	and Slot 1 P0,1)	management.
	Ethernet MGT Ports	
	(Mgmt0, Mgmt1, Mgmt2)	
	LEDs	
	LCD	
Power	Power Plug	N/A

Table 12: Mapping of FIPS 140 Logical Interfaces to Physical ports

The table below describes the interfaces on the Acme Packet 6300 and Acme Packet 6350 with a Dual NIU:

Physical Interface	Number of Ports 6300 and 6350 with Dual NIU	Description / Use
Console Port	1	Provides console access to the module. The module supports only one active serial console connection at a time.  Console port communication is used for administration and maintenance purposes from a central office (CO) location. Tasks conducted over a console port include:  • Configuring the boot process and management network  • Creating the initial connection to the module  • Accessing and using functionality available via the ACLI  • Performing in-lab system maintenance (services described below)
Alarm Port	1	Provides status output



Physical Interface	Number of Ports 6300 and 6350 with Dual NIU	Description / Use
USB Ports	1	This port is used for recovery. e.g. system re-installation after zeroization.
Management Ethernet ports	3 (Mgmt0, Mgmt1, Mgmt2)	Used for EMS control, CDR accounting, CLI management, and other management functions.
Signaling and Media Ethernet ports	(Slot 0 P0,1 and Slot 1	Provide network connectivity for signaling and media traffic. These ports are also used for incoming and outgoing data (voice) connections.

**Table 13: Physical Ports** 



Figure 6: Acme Packet 6300 - Front View

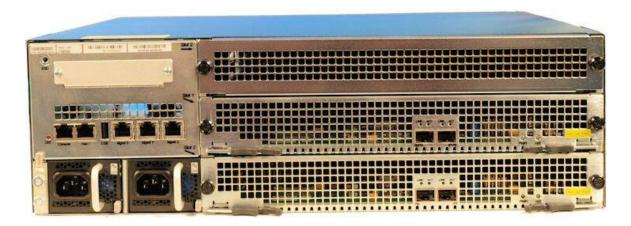




Figure 7: Acme Packet 6300 - Rear View

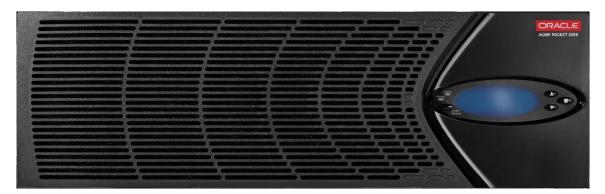


Figure 8: Acme Packet 6350 with a Dual NIU - Front View

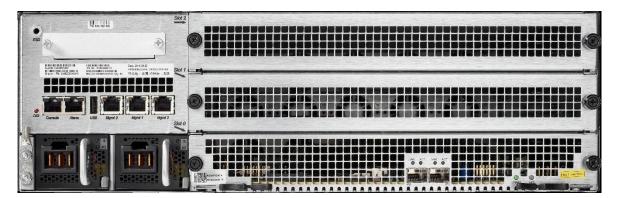


Figure 9: Acme Packet 6350 with a Dual NIU - Rear View

The table below provides a mapping of ports for the Acme Packet 6350 with a Quad NIU:

Logical Interface	Physical Ports	Information Input/Output
Data Input	Ethernet Ports Quad NIU:	Cipher text
	(Slot 0: P0, P1, P2 and P3)	
	Ethernet MGT Ports	Plain text
	(Mgmt0, Mgmt1, Mgmt2)	
Data Output	Ethernet Ports Quad NIU:	Cipher text
	(Slot 0: P0, P1, P2 and P3)	
	Ethernet MGT Ports	Plain text
	(Mgmt0, Mgmt1, Mgmt2)	
Control Input	Console Port	Plaintext control input via console port
	Reset Button	(configuration commands, operator passwords)
	Power Switch	Ciphertext control input via network management
	Ethernet Ports Quad NIU:	(EMS control, CDR accounting, CLI management)
	(Slot 0: P0, P1, P2 and P3)	
	Ethernet MGT Ports	
	(Mgmt0, Mgmt1, Mgmt2)	
Status Output	Console Port	Plaintext status output via console port.
	Alarm Port	



Logical Interface	Physical Ports	Information Input/Output
	Ethernet Ports Quad NIU: (Slot 0: P0, P1, P2 and P3) Ethernet MGT Ports (Mgmt0, Mgmt1, Mgmt2) LEDs LCD	Ciphertext status output via network management
Power	Power Plug	N/A

Table 14: Mapping of FIPS 140 Logical Interfaces to Physical ports

The table below describes the interfaces on the Acme Packet 6350 with a Quad NIU:

Physical Interface	Number of Ports 6350 w/Quad NIU	Description / Use
Console Port	1	Provides console access to the module. The module supports only one active serial console connection at a time.  Console port communication is used for administration and maintenance purposes from a central office (CO) location.  Tasks conducted over a console port include:  Configuring the boot process and management network  Creating the initial connection to the module  Accessing and using functionality available via the ACLI  Performing in-lab system maintenance (services described below)
Alarm Port	1	Provides status output
USB Ports	1 (disabled)	This port is used for recovery. e.g. system re-installation after zeroization.
Management Ethernet ports	3 (Mgmt0, Mgmt1, Mgmt2)	Used for EMS control, CDR accounting, CLI management, and other management functions.
Signaling and Media Ethernet ports	4 (Slot 0: P0, P1, P2 and P3)	Provide network connectivity for signaling and media traffic. These ports are also used for incoming and outgoing data (voice) connections.

Table 15: Physical Ports Acme Packet 6350 with a Quad NIU



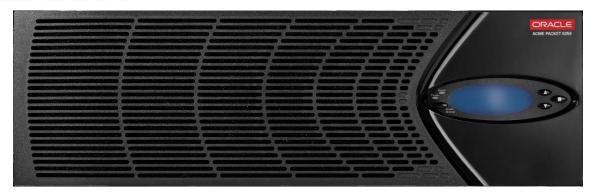


Figure 10: Acme Packet 6350 with a Quad NIU - Front View



Figure 11: Acme Packet 6350 with a Quad NIU - Rear View

# 5. Physical Security

The module's physical embodiment is that of a multi-chip standalone device that meets Level 1 Physical Security requirements. The module is completely enclosed in a rack mountable chassis.



# 6. Operational Environment

The modules support a limited modifiable operational environment as per the FIPS 140-2 Section 4.6.



#### 7. Roles and Services

As required by FIPS 140-2 Level 2, there are three roles (a Crypto Officer Role, User Role, and Unauthenticated Role) in the module that operators may assume. The module supports role-based authentication, and the respective services for each role are described in the following sections. The below table gives a high-level description of all services provided by the module and lists the roles allowed to invoke each service.

Operator Role	Summary of Services
User	<ul> <li>View configuration versions and system performance data</li> <li>Test pattern rules, local policies, and session translations</li> <li>Display system alarms.</li> </ul>
Crypto Officer	Allowed access to all system commands and configuration privileges
Unauthenticated	<ul> <li>Request Authentication</li> <li>Show Status</li> <li>Initiate self-tests</li> </ul>

**Table 16: Service Summary** 

#### 7.1 Operator Services and Descriptions

The below table provides a full description of all services provided by the module and lists the roles allowed to invoke each service.

U	U CO Service Name		Service Description	Keys and CSP(s)	Access Type(s)
	Х	Configure	Initializes the module for FIPS mode of operation	HMAC-SHA-256 key	R, W, X
	Х	X Zeroize CSP's Clears keys/CSPs from memory and disk		All CSP's Z	
	Х	Firmware Update	Updates firmware	Firmware Integrity Key (RSA)	R, X
	Х	Bypass	Configure bypass using TCP or UDP and viewing bypass service status	HMAC-SHA-256 Bypass Key	R, W, X



U	CO	Service Name	Service Description	Keys and CSP(s)	Access Type(s)
Х	Х	Decrypt	Decrypts a block of data Using AES or Triple-DES in	TLS Session Keys (Triple-DES)	Х
			FIPS Mode	TLS Session Keys (AES128)	X
				TLS Session Keys (AES256)	X
				SSH Session Key (AES128)	X
				SSH Session Key (AES256)	X
				SRTP Session Key (AES-128)	X
				SNMP Privacy Key (AES-128)	X
				IKE Session Encryption Key (Triple-DES,	
				AES-128 CBC/CTR, AES-192 CBC, AES-	X
				256 CBC/CTR)	
				IPsec Session Encryption Key (Triple-	
				DES, AES-128 CBC/CTR, AES-192 CBC,	X
				AES-256 CBC/CTR)	
Х	Х	Encrypt	Encrypts a block of data Using AES or Triple-DES in	TLS Session Keys (Triple-DES)	X
			FIPS Mode	TLS Session Keys (AES128)	X
				TLS Session Keys (AES256)	X
				SSH Session Key (AES128)	X
				SSH Session Key (AES256)	X
				SRTP Session Key (AES-128)	X
				SNMP Privacy Key (AES-128)	X
				IKE Session Encryption Key (Triple-DES,	
				AES-128 CBC/CTR, AES-192 CBC, AES-	X
				256 CBC/CTR)	
				IPsec Session Encryption Key (Triple-	
				DES, AES-128 CBC/CTR, AES-192 CBC,	X
				AES-256 CBC/CTR)	
Х	Х	Generate Keys	Generates AES or Triple-DES for encrypt/decrypt	TLS Session Keys (Triple-DES)	R, W
			operations.	TLS Session Keys (AES128)	R, W
				TLS Session Keys (AES256)	R, W
				SSH Session Key (AES128)	R, W
				SSH Session Key (AES256)	R, W
				SRTP Session Key (AES-128)	R, W
				SNMP Privacy Key (AES-128)	R, W
				IKE Session Encryption Key (Triple-DES,	
				AES-128 CBC/CTR, AES-192 CBC, AES-	R, W
				256 CBC/CTR)	
				IPsec Session Encryption Key (Triple-	



U	CO	Service Name	Service Description	Keys and CSP(s)	Access Type(s)
				DES, AES-128 CBC/CTR, AES-192 CBC, AES-256 CBC/CTR)	R, W
			Generates Diffie-Hellman, EC Diffie-Hellman, and RSA	Diffie-Hellman Public Key (DH)	R, W
			keys for key transport/key establishment.	Diffie-Hellman Private Key (DH) EC Diffie-Hellman Public Key (ECDH) EC Diffie-Hellman Private Key (ECDH) SSH authentication private Key (RSA) SSH authentication public key (RSA) TLS authentication private Key (ECDSA/RSA) TLS authentication public key (ECDSA/RSA) TLS premaster secret, TLS Master secret, SRTP Master key IKE Private Key (RSA)	R, W R, W R, W R, W R, W R, W R, W R, W
				IKE Public Key (RSA) SKEYSEED SKEYID SKEYID_d	R, W R, W R, W R, W
Х	Х	Verify	Used as part of the TLS, SSH protocol negotiation	SSH authentication private Key (RSA) SSH authentication public key (RSA) TLS authentication private Key (ECDSA/RSA) TLS authentication public key	X X X
				(ECDSA/RSA) Diffie-Hellman Public Key (DH) Diffie-Hellman Private Key (DH) EC Diffie-Hellman Public Key (ECDH) EC Diffie-Hellman Private Key (ECDH)	X X X X



U	СО	Service Name	Service Description	Keys and CSP(s)	Access Type(s)
Χ	Χ	Generate Seed	Generate an entropy_input for Hash_DRBG, CTR	DRBG Seed	R, W, X
			DRBG	DRBG Entropy Input String	R, W, X
Χ	X	Generate	Generate random number.	DRBG C	R, W, X
		Random		DRBG V	R, W, X
		Number		DRBG Key	R, W, X
Χ	X	HMAC	Generate HMAC	SNMP Authentication Key	Х
				SRTP Authentication Key	Х
				SSH Integrity Keys	Х
				TLS Integrity Keys	Х
				IPsec Session	Х
				Authentication Key	
				IKE Session	Х
				Authentication Key	
Χ	X	Generate	Generate certificate	Web UI Certificate	R, W, X
		Certificate			
Χ	Χ	Authenticate	Authenticate Users	Operator Password	R, W, X
				Operator RSA public key	R, W, X

R - Read, W - Write, X - Execute, Z - Zeroize

**Table 17: Operator Services and Descriptions** 

# 7.2 Unauthenticated Services and Descriptions

The below table provides a full description of the unauthenticated services provided by the module:

Service Name Service Description	
Authentication	Request authentication to an authorized role.
On-Demand Self-Test Initialization This service initiates the FIPS self-test when requested.	
Show Status	This service shows the operational status of the module

**Table 18: Operator Services and Descriptions** 



#### 7.3 Operator Authentication

#### 7.3.1 Password-Based Authentication

In FIPS-approved mode of operation, the module is accessed via Command Line Interface over the Console ports or via SSH or SNMPv3 over the Network Management Ports. Other than status functions available by viewing the Status LEDs, the services described are available only to authenticated operators.

Method	Probability of a Single Successful Random Attempt	Probability of a Successful Attempt within a Minute
Password-Based	Passwords must be a minimum of 8 characters. The	Passwords must be a minimum of 8 characters. The password can
(CO and User	password can consist of alphanumeric values, {a-z, A-Z, 0-9,	consist of alphanumeric values, {a-z, A-Z, 0-9, and special characters],
Authentication	and special characters], yielding 94 choices per character.	yielding 94 choices per character Assuming 10 attempts per second via
to management	The probability of a successful random attempt is 1/94^8,	a scripted or automatic attack, the probability of a success with
interfaces)	which is less than 1/1,000,000.	multiple attempts in a one-minute period is 600/94^8, which is less
		than 1/100,000.
SNMPv3	Passwords must be a minimum of 8 characters. The	Passwords must be a minimum of 8 characters. The password can
Passwords	password can consist of alphanumeric values, {a-z, A-Z, 0-9,	consist of alphanumeric values, {a-z, A-Z, 0-9, and special characters],
	and special characters], yielding 94 choices per character.	yielding 94 choices per character. Assuming 10 attempts per second
	The probability of a successful random attempt is 1/94^8,	via a scripted or automatic attack, the probability of a success with
	which is less than 1/1,000,000.	multiple attempts in a one-minute period is 600/94^8, which is less
		than 1/100,000.
Password-Based	Passwords must be a minimum of 12 numeric characters. 0-	Passwords must be a minimum of 12 numeric characters. 0-9, yielding
(SIP	9, yielding 10 choices per character. The probability of a	10 choices per character. Assuming 10 attempts per second via a
Authentication	successful random attempt is 1/10^12, which is less than	scripted or automatic attack, the probability of a success with multiple
Challenge	1/1,000,000.	attempts in a one-minute period is 600/10^12, which is less than
Response)		1/100,000.

**Table 19: Password based Authentication** 



## 7.3.2 Public key-Based Authentication

The module also supports public key-based authentication for the Crypto-Officer and User Role with at least 2048-bit RSA keys as implemented by the SSH protocol.

Method	Probability of a Single Successful Random Attempt	Probability of a Successful Attempt within a Minute
Public key-Based	A 2048-bit RSA has at least 112-bits of equivalent strength.	Assuming the module can support 60 authentication attempts in one
	The probability of a successful random attempt is 1 /2^112, which is less than 1/1,000,000.	minute, the probability of a success with multiple consecutive attempts in a one-minute period is 60/2^112, which is less than 1/100,000.

**Table 20: Public key-Based Authentication** 

## 7.4 Key and CSP Management

The following keys, cryptographic key components and other critical security parameters are contained in the module. No parts of the SSH, TLS, or SNMP protocol, other than the KDF, have been tested by the CAVP and CMVP.

CSP Name	Generation/Input	Establishment/ Export	Storage	Use
Operator Passwords	Generated by the crypto officer as per the module policy	Agreement: NA	Non Volatile RAM	Authentication of the crypto officer and user
	poncy	Entry: Entry via console or SSH		
		or TLS management session		
		Output: Output as part of HA		
		direct physical connection		
Operator RSA public	Input by the crypto officer	Agreement: NA	Non Volatile RAM	Authentication of the crypto officer and
key	and user during the			user via SSH management session using
	authentication via public	Entry: Entry via SSH		RSA public keys.
	keys.	management session		
		Output: N/A		
Firmware Integrity	Generated externally	Entry: RSA (2048 bits) entered	Flash	Public key used to verify the integrity of
Key (RSA)		as part of Firmware image		firmware and updates
		Output: Output as part of HA		
		direct physical connection		
DRBG Entropy Input	Generated internally from	Agreement: NA	Volatile RAM	Used in the random bit generation
String	hardware sources			process



CSP Name	Generation/Input	Establishment/ Export	Storage	Use
		Entry: NA		
		Output: None		
DRBG Seed	Generated internally from	Agreement: NA	Volatile RAM	Used in the random bit generation
	hardware sources			process
		Entry: NA		
		Output: None		
DRBG Key	Internal value used as part	Agreement: NA	Volatile RAM	Used in the random bit generation
,	of SP 800-90A CTR_DRBG			process
		Entry: NA		
		Output: None		
DRBG V	Internal value used as part	Agreement: NA	Volatile RAM	Used in the random bit generation
	of SP 800-90A DRBG			process
		Entry: NA		
		Output: None		
DRBG C	Internal value used as part	Agreement: NA	Volatile RAM	Used in the random bit generation
	of SP 800-90A HASH_DRBG	_		process
		Entry: NA		
		Output: None		
Diffie-Hellman Public	Internal generation by FIPS-	Agreement: Diffie-Hellman	Volatile RAM	Used to derive the secret session key
Key (DH) 2048-bit	approved CTR_DRBG in firmware			during DH key agreement protocol
	IIIIIware	Entry: NA		
		Output: None		
Diffie-Hellman	Internal generation by FIPS-	Agreement: Diffie-Hellman	Volatile RAM	Used to derive the secret session key
Private Key (DH) 224	approved CTR_DRBG	-		during DH key agreement protocol
bit		Entry: NA		



CSP Name	Generation/Input	Establishment/ Export	Storage	Use
		Output: None		
ECDH Public Key (P- 256)	Internal generation by FIPS- approved CTR_DRBG in firmware	Agreement: EC Diffie-Hellman  Entry: NA	Volatile RAM	Used to derive the secret session key during ECDH key agreement protocol
		Output: None		
ECDH Private Key (P- 256)	Internal generation by FIPS- approved CTR_DRBG	Agreement: EC Diffie-Hellman Entry: NA	Volatile RAM	Used to derive the secret session key during ECDH key agreement protocol
		Output: None		
SNMP Privacy Key (AES-128)	NIST SP 800-135 KDF	Agreement: NIST SP 800-135 KDF	Volatile RAM	For encryption / decryption of SNMP session traffic
		Entry: NA		
		Output: Output as part of HA direct physical connection		
SNMP Authentication Key (HMAC-SHA1)	Internal generation by FIPS- approved CTR_DRBG in firmware	Agreement: NA  Output: Output as part of HA direct physical connection	Volatile RAM	160-bit HMAC-SHA-1 for message authentication and verification in SNMP
SRTP Master Key (AES-128)	Internal generation by FIPS- approved Hash_DRBG in firmware	Agreement: Diffie-Hellman  Entry: NA	Volatile RAM	Generation of SRTP session keys
		Output: encrypted or output as part of HA direct physical connection		
SRTP Session Key (AES-128)	NIST SP 800-135 KDF	Agreement: NIST SP 800-135 KDF	Volatile RAM	For encryption / decryption of SRTP session traffic



CSP Name	Generation/Input	Establishment/ Export	Storage	Use
		Entry: NA		
		Output: Output as part of HA		
		direct physical connection		
SRTP Authentication	Derived from the master	Agreement: NA	Volatile RAM	160-bit HMAC-SHA-1 for message
Key (HMAC-SHA1)	key			authentication and verification in SRTP
		Output: Output as part of HA		
		direct physical connection		
SSH Authentication	Internal generation by FIPS-	Agreement: RSA (2048 bits)	Flash Memory	RSA private key for SSH authentication
Private Key (RSA)	approved CTR_DRBG			
		Output: Output as part of HA		
CCII A II II II		direct physical connection	51 1 1 1 1	DCA LILL C CCIL III III III
SSH Authentication	Internal generation by FIPS-	Agreement: RSA (2048 bits)	Flash Memory	RSA public key for SSH authentication.
Public Key (RSA)	approved CTR_DRBG	Output: Output as part of HA		
		direct physical connection		
SSH Session Keys (	Derived via SSH KDF.	Agreement: Diffie-Hellman	Volatile RAM	Encryption and decryption of SSH
AES-128, AES-256)				session
	Note: These keys are			
	generated via SSH (IETF RFC			
	4251). This protocol enforces limits on the			
	number of total possible			
	encryption/decryption			
	operations.			
SSH Integrity Keys	Derived via SSH KDF.	Agreement: NA	Volatile RAM	160-bit HMAC-SHA-1 for message
(HMAC-SHA1)				authentication and verification in SSH
		Output: Output as part of HA		
		direct physical connection		
TLS Authentication	Internal generation by FIPS-	Agreement: RSA (2048bits);	Flash Memory	ECDSA/RSA private key for TLS
Private Key	approved CTR_DRBG	ECDSA (P- 256/P-384)	,,	authentication
(ECDSA/RSA)		, , ,		
		Output: Output as part of HA		
		direct physical connection		
TLS Authentication	Internal generation by FIPS-	Agreement: RSA (2048bits);	Volatile RAM	ECDSA/RSA public key for TLS



CSP Name	Generation/Input	Establishment/ Export	Storage	Use
Public Key (ECDSA/RSA)	approved CTR_DRBG	ECDSA (P- 256/P-384)		authentication.
		Output: Output as part of HA		
		direct physical connection		
TLS Premaster Secret (48 Bytes)	Internal generation by FIPS- approved CTR_DRBG in	Agreement: NA	Volatile RAM	Establishes TLS master secret
	firmware	Entry: Input during TLS negotiation		
		Output: Output to peer encrypted by Public Key		
TLS Master Secret (48 Bytes)	Derived from the TLS Pre- Master Secret	Agreement: NA	Volatile RAM	Used for computing the Session Key
TLS Session Keys (Triple-DES, AES-128 CBC, AES-256)	Derived from the TLS Master Secret	Agreement: RSA key transport	Volatile RAM	Used for encryption & decryption of TLS session
,	Note: These keys are			
	generated via TLS (IETF RFC 5246). This protocol			
	enforces limits on the			
	number of total possible			
	encryption/decryption operations.			
TLS Integrity Keys (HMAC-SHA1)	Internal generation by FIPS- approved CTR_DRBG in	Agreement: NA	Volatile RAM	160-bit HMAC-SHA-1 for message authentication and verification in TLS
	firmware	Output: Output as part of HA		
		direct physical connection		
SKEYSEED	Derived by using key	Agreement: NIST SP 800-135	Volatile RAM	160 bit shared secret known only to IKE
(20 Bytes)	derivation function defined in SP800-135 KDF (IKEv2).	KDF		peers. Used to derive IKE session keys
		Entry: NA		
		Output: Output as part of HA		



CSP Name	Generation/Input	Establishment/ Export	Storage	Use
		direct physical connection to		
		another box		
SKEYID	Derived by using key	Agreement: NIST SP 800-135	Volatile RAM	160 bit secret value used to derive
(20 Bytes)	derivation function defined in SP800-135 KDF (IKEv2).	KDF		other IKE secrets
		Entry: NA		
		Output: Output as part of HA		
		direct physical connection to		
		another box		
SKEYID_d	Derived using SKEYID, Diffie	Agreement: NIST SP 800-135	Volatile RAM	160 bit secret value used to derive IKE
(20 Bytes)	Hellman shared secret and other non-secret values	KDF		session keys
	through key derivation function defined in	Entry: NA		
	SP800135 KDF	Output: Output as part of HA		
	(IKEv1/IKEv2).	direct physical connection to		
		another box		
IKE Pre-Shared Key	Preloaded by the Crypto Officer.	Agreement: NA	Flash Memory	Secret used to derive IKE skeyid when using pre-shared secret authentication
		Output: Output as part of HA		
		direct physical connection to		
		another box		
IKE Session	Derived via key derivation	Agreement: NIST SP 800-135	Volatile RAM	Triple-DES, AES 128 and 256 key used
Encryption Key (Triple-DES, AES-128	function defined in SP800- 135 KDF (IKEv1/IKEv2)	KDF		to encrypt data
CBC/CTR, AES-192 CBC, AES-256		Entry: NA		
CBC/CTR)		Output: Output as part of HA		
		direct physical connection to		
		another box		
IKE Session	Derived via key derivation	Agreement: NIST SP 800-135	Volatile RAM	512 bit key HMAC-SHA-512 used for
IVE 2622IOH	Derived via key derivation	Agreement: NIST SP 800-135	voiatile KAIVI	312 bit key niviac-3na-312 used for



CSP Name	Generation/Input	Establishment/ Export	Storage	Use
Authentication Key (HMAC-SHA-512)	function defined in SP800- 135 KDF (IKEv1/IKEv2)	KDF		data authentication
		Entry: NA		
		Output: Output as part of HA		
		direct physical connection to		
		another box		
IKE Private Key (RSA 2048 bit)	Internal generation by FIPS- approved CTR_DRBG in	Agreement: RSA (2048 bits)	Volatile RAM	RSA 2048 bit key used to authenticate the module to a peer during IKE
(NSA 2046 DIL)	firmware	Output: Output as part of HA		the module to a peer during IKE
		direct physical connection to		
		another box		
IKE Public Key	Internal generation by FIPS-	Agreement: RSA (2048 bits)	Volatile RAM	RSA 2048 bit public key for TLS
(RSA 2048-bit)	approved CTR_DRBG in			authentication.
	firmware	Output: Output as part of HA direct physical connection to		
		another box		
IPsec Session	Derived via a key derivation	Agreement: NIST SP 800-135	Volatile RAM	Triple-DES, AES 128 or 256 bit key used
Encryption Key (Triple-DES, AES-128	function defined in SP800- 135 KDF (IKEv1/IKEv2).	KDF		to encrypt data
CBC/CTR, AES-192 CBC, AES-256		Entry: NA		
CBC/CTR)		Output: Output as part of HA		
		direct physical connection to		
		another box		
IPsec Session	Derived via a key derivation function defined in SP800-	Agreement: NIST SP 800-135	Volatile RAM	512 bit HMAC-SHA-512 key used for data authentication for IPsec traffic
Authentication Key (HMAC-SHA-512)	135 KDF (IKEv1/IKEv2).	KDF		data authentication for IPSec traffic
		Entry: NA		
		Output: Output as part of HA		
		direct physical connection to		
		another box		



CSP Name	Generation/Input	Establishment/ Export	Storage	Use
Web UI Certificate	Internal generation by FIPS approved CTR_DRBG in	Agreement: NA	Flash	Web server certificate
	firmware	Output: TLS session with		
		operator		
Bypass Key (HMAC-	Internal generation by FIPS-	Agreement: NA	Flash Memory	256-bit HMAC-SHA-256 used to protect
SHA-256)	approved CTR_DRBG in			bypass table
	firmware	Output: NA		

Table 21: CSP Table

**Note:** When the module generates symmetric keys or seeds used for generating asymmetric keys, unmodified DRBG output is used as the symmetric key or as the seed for generating the asymmetric keys.

Note: All keys generated by the module use the direct output of a FIPS approved DRBG. This meets the requirements of SP 800-133.



#### 8. Self-Tests

The modules include an array of self-tests that are run during startup and conditionally during operations to prevent any secure data from being released and to ensure all components are functioning correctly. Self-tests may be run on-demand by power cycling the module.

#### 8.1 Power-Up Self-Tests

Acme Packet 4600, Acme Packet 6300, Acme Packet 6350 with Dual NIU and Acme Packet 6350 with Quad NIU appliances perform the following power-up self-tests when power is applied to the module. These self-tests require no inputs or actions from the operator:

#### 8.1.1 Firmware Integrity Test

• Firmware Integrity Test (RSA 2048/SHA-256)

#### 8.1.2 Mocana Cryptographic Library Self-Tests

- AES CBC (Encrypt/Decrypt) Known Answer Test;
- Triple-DES CBC (Encrypt/Decrypt) Known Answer Test;
- SHA-1 Known Answer Test;
- SHA-256 Known Answer Test;
- SHA-384 Known Answer Test;
- SHA-512 Known Answer Test;
- HMAC-SHA-1 Known Answer Test;
- HMAC-SHA-256 Known Answer Test:
- HMAC-SHA-384 Known Answer Test;
- HMAC-SHA-512 Known Answer Test; and
- RSA Verify Test.

#### 8.1.3 Oracle Acme Packet Cryptographic Library Self-Tests

- SHA-1 Known Answer Test;
- SHA-256 Known Answer Test;
- SHA-512 Known Answer Test;
- HMAC-SHA-1 Known Answer Test;
- HMAC-SHA-256 Known Answer Test;
- HMAC-SHA-384 Known Answer Test;
- HMAC-SHA-512 Known Answer Test;
- AES CBC (Encrypt/Decrypt) Known Answer Test;
- AES GCM (Encrypt/Decrypt) Known Answer Test;
- Triple-DES CBC (Encrypt/Decrypt) Known Answer Test
- SP 800-90A Hash DRBG Known Answer Test;
- SP 800-90A CTR DRBG Known Answer;
- RSA sign/verify Known Answer Test; and
- ECDSA sign/verify Known Answer Test.

#### 8.1.4 Nitrox Self-Tests



- AES CBC (Encrypt/Decrypt) Known Answer Test;
- Triple-DES CBC (Encrypt/Decrypt) Known Answer Test; and
- RSA Sign/Verify Known Answer Test.

#### 8.1.5 Octeon II Self-tests

AES ECB (Encrypt/Decrypt) Known Answer Test

#### 8.1.6 Octeon III Self-tests

- AES GCM (Encrypt/Decrypt) Known Answer Test;
- AES CBC/ECB (Encrypt/Decrypt) Known Answer Test;
- Triple-DES (Encrypt/Decrypt) Known Answer Test;
- SHA-1 Known Answer Test;
- SHA-256 Known Answer Test;
- SHA-512 Known Answer Test;
- HMAC-SHA-1 Known Answer Test;
- HMAC-SHA-256 Known Answer Test;
- HMAC-SHA-384 Known Answer Test;
- HMAC-SHA-512 Known Answer Test;
- RSA sign/verify Known Answer Test;

When the modules are in a power-up self-test state or error state, the data output interface is inhibited and remains inhibited until the module can transition into an operational state. While the CO may attempt to restart the module to clear an error, the module will require re-installation in the event of a hard error such as a failed self-test.

#### 8.2 Critical Functions Self-Tests

Acme Packet 4600, Acme Packet 6300 and Acme Packet 6350 appliances perform the following critical self-tests. These critical function tests are performed for each SP 800-90A DRBG implemented within the module.

- SP 800-90A Instantiation Test
- SP 800-90A Generate Test
- SP 800-90A Reseed Test
- SP 800-90A Uninstantiate Test

#### 8.3 Conditional Self-Tests

The module performs the following conditional self-tests when called by the module:

- Pair Wise consistency tests to verify that the asymmetric keys generated for RSA, and ECDSA work correctly by performing a sign and verify operation;
- Continuous Random Number Generator test to verify that the output of approved-DRBGs is not the same as the previously generated value;
- Continuous Random Number Generator test to verify that the output of entropy is not the same as the
  previously generated value;
- Bypass conditional test using HMAC-SHA-256 to ensure the mechanism governing media traffic is functioning correctly, and;
- Firmware Load test using a 2048-bit/SHA-256 RSA-Based integrity test to verify firmware to be loaded into the



module.



## 9. Crypto-Officer and User Guidance

The modules include an array of self-tests that are run during startup and conditionally during operations to prevent any secure data from being released and to ensure all components are functioning correctly. Self-tests may be run on-demand by power cycling the module.

FIPS Mode is enabled by a license installed by Oracle, which will open/lock down features where appropriate.

This section describes the configuration, maintenance, and administration of the cryptographic module.

### 9.1 Secure Setup and Initialization

The operator shall set up the device as defined in the Session Border Controller ACLI Configuration Guide. The Crypto-Officer shall also:

- Verify that the firmware version of the module is Version S-Cz8.4.
- A new account for the Crypto-Officer and User shall be created as part of Setup and Initialization process.
   Upon creation of the new CO and User accounts the "default" accounts shipped with the module shall be disabled.
- Ensure all traffic is encapsulated in a TLS, SSH, or SRTP tunnel as appropriate.
- HTTPS shall be enabled and configure the web server certificate prior to connecting to the WebUI over TLS.
- Ensure that SNMP V3 is configured with AES-128/HMAC only.
- Ensure IKEv1 and IKEv2 is using AES CBC or CTR mode for encryption and HMAC-SHA-512 for authentication.
- Ensure SSH is configured to use AES CTR mode for encryption.
- Ensure SSH and IKEv1/IKEv2 only use Diffie-Hellman group 14 in FIPS approved mode.
- Ensure all management traffic is encapsulated within a trusted session (i.e., Telnet should not be used in FIPS mode of operation).
- Ensure RSA keys are at least 2048-bit keys for TLS, IKEv1/IKEv2. No 512-bit or 1024-bit keys can be used in FIPS mode of operation.
- All operator passwords must be a minimum of 8 characters in length.
- Ensure use of FIPS-approved algorithms for TLS:
  - TLS\_ECDHE\_ECDSA\_WITH\_AES\_256\_GCM\_SHA384
  - o TLS\_ECDHE\_ECDSA\_WITH\_AES\_128\_GCM\_SHA256
  - o TLS ECDHE RSA WITH AES 256 GCM SHA384
  - TLS\_ECDHE\_RSA\_WITH\_AES\_128\_GCM\_SHA256
  - o TLS\_ECDHE\_RSA\_WITH\_AES\_256\_CBC\_SHA384
  - o TLS ECDHE RSA WITH AES 128 CBC SHA256
  - o TLS DHE RSA WITH AES 256 GCM SHA384
  - TLS\_DHE\_RSA\_WITH\_AES\_128\_GCM\_SHA256
  - o TLS DHE RSA WITH AES 128 CBC SHA256
  - TLS\_DHE\_RSA\_WITH\_AES\_256\_CBC\_SHA256
- Be aware that when configuring High Availability (HA), only a local HA configuration to a directly connected box via a physical cable over the management port is allowed in FIPS Approved Mode. Remote HA is not allowed in FIPS Approved mode.
- Be aware that HA configuration data that contains keys and CSP's must never be transported over an untrusted network. Ensure that the HA ports used for the transport of HA data (including keys and CSP's) are bound to a private IP address range during setup.
- Be aware that only the HA state transactions between the two devices over the direct physical connection are



permitted over those dedicated ports.

- RADIUS and TACACS+ shall not be used in FIPS approved mode.
- Any firmware loaded into this module that is not shown on the module certificate, is out of the scope of this validation and requires a separate FIPS 140-2 validation.
- 3-key Triple-DES has been implemented in the module and is FIPS approved until December 31, 2023. Should the CMVP disallow the usage of Triple-DES post-December 31, 2023, then users must not configure Triple-DES.

Services in Table 8 of Section 3.5 make use non-compliant cryptographic algorithms. Use of these algorithms will place the module in a non-Approved mode of operation.

#### 9.2 AES-GCM IV Construction/Usage

The AES-GCM IV is used in the following protocols:

• TLS: The TLS AES-GCM IV is generated in compliance with TLSv1.2 GCM cipher suites as specified in RFC 5288 and section 3.3.1 of NIST SP 800-52rev1. Per RFC 5246, when the nonce\_explicit part of the IV exhausts the maximum number of possible values for a given session key, the module will trigger a handshake to establish a new encryption key.

In case the module's power is lost and then restored, the key used for the AES GCM encryption or decryption shall be redistributed.

Note: IKE/IPSec does not use AES GCM.



# **10.Mitigation of Other Attacks**

The module does not mitigate attacks beyond those identified in FIPS 140-2.



# **Acronyms Terms and Abbreviations**

Term	Definition	
AES	Advanced Encryption Standard	
BBRAM	Battery Backed RAM	
CMVP	Cryptographic Module Validation Program	
CDR	Call Data Record	
CSEC	Communications Security Establishment Canada	
CSP	Critical Security Parameter	
DHE	Diffie-Hellman Ephemeral	
DRBG	Deterministic Random Bit Generator	
ESBC	Enterprise Session Border Controller	
ECDSA	Elliptic Curve Digital Signature Algorithm	
ESBC	Enterprise Session Border Controller	
EDC	Error Detection Code	
EMS	Enterprise Management Server	
НА	High Availability	
HMAC	(Keyed) Hash Message Authentication Code	
IKE	Internet Key Exchange	
KAT	Known Answer Test	
KDF	Key Derivation Function	
LED	Light Emitting Diode	
MGT	Management	
NIST	National Institute of Standards and Technology	
POST	Power On Self Test	
PUB	Publication	
RAM	Random Access Memory	
ROM	Read Only Memory	
SHA	Secure Hash Algorithm	
SNMP	Simple Network Management Protocol	
SRTP	Secure Real Time Protocol	
TDM	Time Division Multiplexing	
TLS	Transport Layer Security	

Table 22: Acronyms



#### References

The FIPS 140-2 standard, and information on the CMVP, can be found at <a href="http://csrc.nist.gov/groups/STM/cmvp/index.html">http://csrc.nist.gov/groups/STM/cmvp/index.html</a>.

More information describing the module can be found on the Oracle web site at <a href="https://www.oracle.com/industries/communications/enterprise/products/session-border-controller/index.html">https://www.oracle.com/industries/communications/enterprise/products/session-border-controller/index.html</a>.

This Security Policy contains non-proprietary information. All other documentation submitted for FIPS 140-2 conformance testing and validation is "Oracle - Proprietary" and is releasable only under appropriate non-disclosure agreements.

Document	Author	Title
FIPS PUB 140-2	NIST	FIPS PUB 140-2: Security Requirements for Cryptographic Modules
FIPS IG	NIST	Implementation Guidance for FIPS PUB 140-2 and the Cryptographic Module Validation Program
FIPS PUB 140-2 Annex A	NIST	FIPS 140-2 Annex A: Approved Security Functions
FIPS PUB 140-2 Annex B	NIST	FIPS 140-2 Annex B: Approved Protection Profiles
FIPS PUB 140-2 Annex C	NIST	FIPS 140-2 Annex C: Approved Random Number Generators
FIPS PUB 140-2 Annex D	NIST	FIPS 140-2 Annex D: Approved Key Establishment Techniques
DTR for FIPS PUB 140-2	NIST	Derived Test Requirements (DTR) for FIPS PUB 140-2, Security Requirements for
		Cryptographic Modules
NIST SP 800-67	NIST	Recommendation for the Triple Data Encryption Algorithm TDEA Block Cypher
FIPS PUB 197	NIST	Advanced Encryption Standard
FIPS PUB 198-1	NIST	The Keyed Hash Message Authentication Code (HMAC)
FIPS PUB 186-4	NIST	Digital Signature Standard (DSS)
FIPS PUB 180-4	NIST	Secure Hash Standard (SHS)
NIST SP 800-131A	NIST	Recommendation for the Transitioning of Cryptographic Algorithms and Key Sizes
PKCS#1	RSA	PKCS#1 v2.1: RSA Cryptographic Standard
	Laboratories	

**Table 23: References**