



Communications  
Security Establishment

Centre de la sécurité  
des télécommunications

# CANADIAN CENTRE FOR **CYBER SECURITY**

## COMMON CRITERIA CERTIFICATION REPORT

### Oracle VM Server for SPARC 3.6 and Oracle Solaris 11.4

### 25 January 2024

614-LSS

v1.0

© Government of Canada

This document is the property of the Government of Canada. It shall not be altered, distributed beyond its intended audience, produced, reproduced or published, in whole or in any substantial part thereof, without the express permission of CSE.

Canada 

# FOREWORD

This certification report is an UNCLASSIFIED publication, issued under the authority of the Chief, Communications Security Establishment (CSE).

The Information Technology (IT) product identified in this certification report, and its associated certificate, has been evaluated at an approved testing laboratory established under the Canadian Centre for Cyber Security (a branch of CSE). This certification report, and its associated certificate, applies only to the identified version and release of the product in its evaluated configuration. The evaluation has been conducted in accordance with the provisions of the Canadian Common Criteria Program, and the conclusions of the testing laboratory in the evaluation report are consistent with the evidence adduced.

This report, and its associated certificate, are not an endorsement of the IT product by Canadian Centre for Cyber Security, or any other organization that recognizes or gives effect to this report, and its associated certificate, and no warranty for the IT product by the Canadian Centre for Cyber Security, or any other organization that recognizes or gives effect to this report, and its associated certificate, is either expressed or implied.

If your organization has identified a requirement for this certification report based on business needs and would like more detailed information, please contact:

Canadian Centre for Cyber Security  
Contact Centre and Information Services  
[contact@cyber.gc.ca](mailto:contact@cyber.gc.ca) | 1-833-CYBER-88 (1-833-292-3788)



## OVERVIEW

The Canadian Common Criteria Program provides a third-party evaluation service for determining the trustworthiness of Information Technology (IT) security products. Evaluations are performed by a commercial Common Criteria Testing Laboratory (CCTL) under the oversight of the Certification Body, which is managed by the Canadian Centre for Cyber Security.

A CCTL is a commercial facility that has been approved by the Certification Body to perform Common Criteria evaluations; a significant requirement for such approval is accreditation to the requirements of ISO/IEC 17025, the General Requirements for the Competence of Testing and Calibration Laboratories.

By awarding a Common Criteria certificate, the Certification Body asserts that the product complies with the security requirements specified in the associated security target. A security target is a requirements specification document that defines the scope of the evaluation activities. The consumer of certified IT products should review the security target, in addition to this certification report, to gain an understanding of any assumptions made during the evaluation, the IT product's intended environment, the evaluated security functionality, and the testing and analysis conducted by the CCTL.

The certification report, certificate of product evaluation and security target are posted to the Common Criteria portal (the official website of the International Common Criteria Program).



# TABLE OF CONTENTS

<b>EXECUTIVE SUMMARY</b> .....	<b>6</b>
<b>1 Identification of Target of Evaluation</b> .....	<b>7</b>
1.1 Common Criteria Conformance.....	7
1.2 TOE Description.....	7
1.3 TOE Architecture.....	8
<b>2 Security Policy</b> .....	<b>9</b>
2.1 Cryptographic Functionality .....	9
<b>3 Assumptions and Clarification of Scope</b> .....	<b>10</b>
3.1 Usage and Environmental Assumptions.....	10
3.2 Clarification of Scope .....	10
<b>4 Evaluated Configuration</b> .....	<b>11</b>
4.1 Documentation.....	11
<b>5 Evaluation Analysis Activities</b> .....	<b>12</b>
5.1 Development.....	12
5.2 Guidance Documents.....	12
5.3 Life-Cycle Support.....	12
<b>6 Testing Activities</b> .....	<b>13</b>
6.1 Assessment of Developer tests .....	13
6.2 Conduct of Testing.....	13
6.3 Independent Testing.....	13
6.3.1 Independent Testing Results.....	13
6.4 Vulnerability Analysis.....	14
6.4.1 Vulnerability Analysis Results .....	14
<b>7 Results of the Evaluation</b> .....	<b>15</b>
7.1 Recommendations/Comments .....	15
<b>8 Supporting Content</b> .....	<b>16</b>
8.1 List of Abbreviations.....	16



8.2 References ..... 16

## LIST OF FIGURES

Figure 1: TOE Architecture ..... 8

## LIST OF TABLES

Table 1: TOE Identification ..... 7

Table 2: Cryptographic Implementations ..... 9



## EXECUTIVE SUMMARY

**Oracle VM Server for SPARC 3.6 and Oracle Solaris 11.4** (hereafter referred to as the Target of Evaluation, or TOE), from **Oracle Corporation**, was the subject of this Common Criteria evaluation. A description of the TOE can be found in Section 1.2. The results of this evaluation demonstrate that the TOE meets the requirements of the conformance claim listed in Section 1.1 for the evaluated security functionality.

**Lightship Security** is the CCTL that conducted the evaluation. This evaluation was completed on **25 January 2024** and was carried out in accordance with the rules of the Canadian Common Criteria Program.

The scope of the evaluation is defined by the Security Target, which identifies assumptions made during the evaluation, the intended environment for the TOE, and the security functional/assurance requirements. Consumers are advised to verify that their operating environment is consistent with that specified in the security target, and to give due consideration to the comments, observations, and recommendations in this Certification Report.

The Canadian Centre for Cyber Security, as the Certification Body, declares that this evaluation meets all the conditions of the Arrangement on the Recognition of Common Criteria Certificates and that the product is listed on the Certified Products list (CPL) for the Canadian Common Criteria Program and the Common Criteria portal (the official website of the International Common Criteria Program).

# 1 IDENTIFICATION OF TARGET OF EVALUATION

The Target of Evaluation (TOE) is identified as follows:

**Table 1: TOE Identification**

<b>TOE Name and Version</b>	Oracle VM Server for SPARC 3.6 and Oracle Solaris 11.4
<b>Developer</b>	Oracle Corporation

## 1.1 COMMON CRITERIA CONFORMANCE

The evaluation was conducted using the Common Methodology for Information Technology Security Evaluation, Version 3.1 Revision 5, for conformance to the Common Criteria for Information Technology Security Evaluation, Version 3.1 Revision 5.

The TOE claims the following conformance:

- Protection Profile for Virtualization, Version: 1.1, 2021-06-14
- PP-Module for Server Virtualization Systems, Version: 1.1, 2021-06-14
- Functional Package for Secure Shell (SSH), Version: 1.0, 2021-05-13
- Functional Package for Transport Layer Security (TLS), Version: 1.1, 2019-03-01

## 1.2 TOE DESCRIPTION

The TOE is bundled with Oracle Solaris 11.4 and is used to provide server virtualization capabilities to users. The TOE is deployed on enterprise-class SPARC server hardware housed in data centers. The TOE is used to provide virtualized instances of services traditionally executed on separate hardware platforms, such as web servers, file servers, and mail servers.

### 1.3 TOE ARCHITECTURE

A diagram of the TOE architecture is as follows:

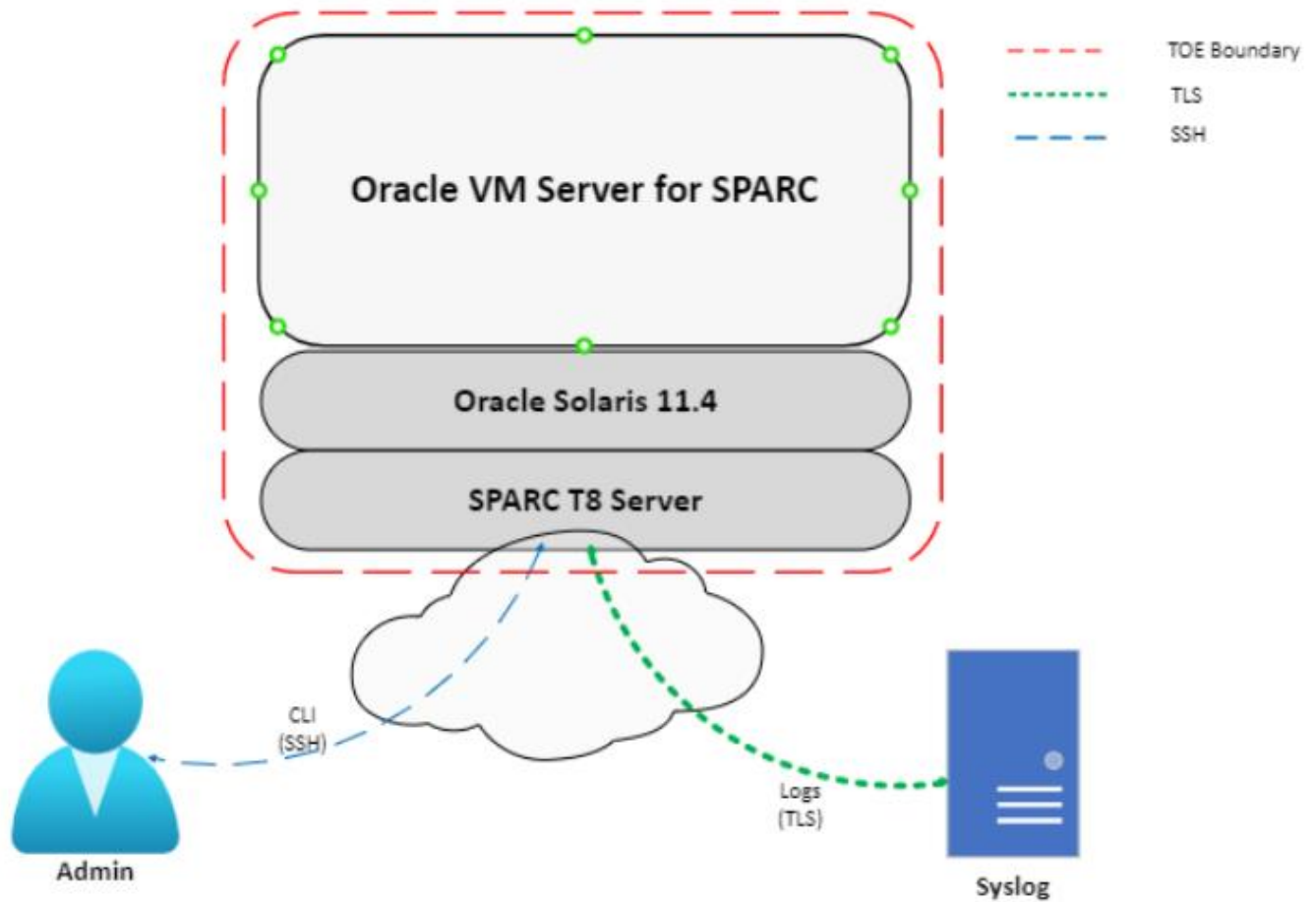


Figure 1: TOE Architecture



## 2 SECURITY POLICY

The TOE implements and enforces policies pertaining to the following security functionality:

- VM Hardware-based Isolation
- VM Resource Control
- VM Residual Information Clearing
- VM Networking & Separation
- VM User Interface
- VS Integrity
- VS Self Protection
- Protected Communications
- Secure Administration
- System Monitoring
- Cryptographic Operations

Complete details of the security functional requirements (SFRs) can be found in the Security Target (ST) referenced in section 8.2.

### 2.1 CRYPTOGRAPHIC FUNCTIONALITY

The following cryptographic implementations are used by the TOE and have been evaluated by the CAVP:

**Table 2: Cryptographic Implementations**

Cryptographic Module/Algorithm	Certificate Number
Oracle OpenSSL 3.x FIPS Provider v3.0.8	A4216
Oracle Solaris Kernel Cryptographic Framework v1.4	C1895

## 3 ASSUMPTIONS AND CLARIFICATION OF SCOPE

Consumers of the TOE should consider assumptions about usage and environmental settings as requirements for the product's installation and its operating environment. This will ensure the proper and secure operation of the TOE.

### 3.1 USAGE AND ENVIRONMENTAL ASSUMPTIONS

The following assumptions are made regarding the use and deployment of the TOE:

- The platform has not been compromised prior to installation of the VS.
- Physical security commensurate with the value of the TOE and the data it contains is assumed to be provided by the environment.
- The user of the VS is not willfully negligent or hostile and uses the VS in compliance with the applied enterprise security policy and guidance. At the same time, malicious applications could act as the user, so requirements which confine malicious applications are still in scope.

### 3.2 CLARIFICATION OF SCOPE

- Only the functionality identified in the claimed PP & PP-Modules are included in the scope of the evaluation.
- Generic OS functionality, the LDMD XMPP Management service, and LDMD Migration service are not included in the evaluation and should only be exposed on a dedicated management network in accordance with guidance.



## 4 EVALUATED CONFIGURATION

The evaluated configuration for the TOE comprises:

TOE Software/Firmware	Oracle VM Server for SPARC 3.6.2.0.57 and Oracle Solaris 11.4.57.0.1.144.3 with IDR 5391
TOE Hardware	SPARC T8 hardware
Environmental Support	Syslog Server

### 4.1 DOCUMENTATION

The following documents are provided to the consumer to assist in the configuration and installation of the TOE:

- a) Oracle VM Server for SPARC 3.6 and Oracle Solaris 11.4 Common Criteria Guide, January 2024, v1.5
- b) [Oracle VM Server for SPARC 3.6 Documentation Library](#)
- c) [Oracle SPARC T8 information Library](#)
- d) [Oracle Solaris 11.4 Information Library](#)

## 5 EVALUATION ANALYSIS ACTIVITIES

The evaluation analysis activities involved a structured evaluation of the TOE. Documentation and process dealing with Development, Guidance Documents, and Life-Cycle Support were evaluated.

### 5.1 DEVELOPMENT

The evaluators analyzed the documentation provided by the vendor; they determined that the design completely and accurately describes the TOE security functionality (TSF) interfaces and how the TSF implements the security functional requirements. The evaluators determined that the initialization process is secure, that the security functions are protected against tamper and bypass, and that security domains are maintained.

### 5.2 GUIDANCE DOCUMENTS

The evaluators examined the TOE preparative user guidance and operational user guidance and determined that it sufficiently and unambiguously describes how to securely transform the TOE into its evaluated configuration and how to use and administer the product. The evaluators examined and tested the preparative and operational guidance and determined that they are complete and sufficiently detailed to result in a secure configuration.

Section 4.1 provides details on the guidance documents.

### 5.3 LIFE-CYCLE SUPPORT

An analysis of the TOE configuration management system and associated documentation was performed. The evaluators found that the TOE configuration items were clearly marked.

The evaluators examined the delivery documentation and determined that it described all the procedures required to maintain the integrity of the TOE during distribution to the consumer.



## 6 TESTING ACTIVITIES

Testing consists of the following three steps: assessing developer tests, performing independent tests, and performing a vulnerability analysis.

### 6.1 ASSESSMENT OF DEVELOPER TESTS

The evaluators verified that the developer has met their testing responsibilities by examining their test evidence, and reviewing their test results, as documented in the Evaluation Test Report (ETR). The correspondence between the tests identified in the developer's test documentation and the functional specification was complete.

### 6.2 CONDUCT OF TESTING

The TOE was subjected to a comprehensive suite of formally documented, independent functional and penetration tests. The detailed testing activities, including configurations, procedures, test cases, expected results and observed results are documented in a separate Test Results document.

### 6.3 INDEPENDENT TESTING

During this evaluation, the evaluator developed independent functional & penetration tests by examining design and guidance documentation.

All testing was planned and documented to a sufficient level of detail to allow repeatability of the testing procedures and results. The following testing activities were performed:

- a. PP Assurance Activities: The evaluator performed the assurance activities listed in the claimed PP
- b. Cryptographic Implementation Verification: The evaluator verified that the claimed cryptographic implementation was present in the TOE.

#### 6.3.1 INDEPENDENT TESTING RESULTS

The developer's tests and the independent tests yielded the expected results, providing assurance that the TOE behaves as specified in its ST and functional specification.



## 6.4 VULNERABILITY ANALYSIS

The vulnerability analysis focused on 4 flaw hypotheses.

- Public Vulnerability based (Type 1)
- Evaluation team generated (Type 3)
- Technical community sources (Type 2)
- Tool Generated (Type 4)

The evaluators conducted an independent review of all evaluation evidence, public domain vulnerability databases and technical community sources (Type 1 & 2). Additionally, the evaluators used automated vulnerability scanning tools to discover potential network, platform, and application layer vulnerabilities (Type 4). Based upon this review, the evaluators formulated flaw hypotheses (Type 3), which they used in their vulnerability analysis.

Type 1 & 2 searches were conducted on **12 July 2023** and included the following search terms:

Solaris 11.4	Oracle VM Server for SPARC	curl 7.87
SPARC	SPARC Sun System Firmware	libcurl 7.87
OpenSSH 8.4p1	N2RNG	OpenSSL version 3.0.8

Vulnerability searches were conducted using the following sources:

Oracle Security Advisories <a href="https://www.oracle.com/security-alerts/">https://www.oracle.com/security-alerts/</a>	OpenSSL Vulnerabilities <a href="https://www.openssl.org/news/vulnerabilities.html">https://www.openssl.org/news/vulnerabilities.html</a>
Common Vulnerabilities and Exposures (CVE) <a href="http://cve.mitre.org/">http://cve.mitre.org/</a>	National Vulnerability Database <a href="http://nvd.nist.gov/">http://nvd.nist.gov/</a>
Curl Documentation - Vulnerabilities <a href="https://curl.se/docs/vuln-7.87.0.html">https://curl.se/docs/vuln-7.87.0.html</a>	OpenSSH – Release Notes <a href="https://www.openssh.com/releasenotes.html">https://www.openssh.com/releasenotes.html</a>

### 6.4.1 VULNERABILITY ANALYSIS RESULTS

The vulnerability analysis did not uncover any security relevant residual exploitable vulnerabilities in the intended operating environment.

## 7 RESULTS OF THE EVALUATION

The Information Technology (IT) product identified in this certification report, and its associated certificate, has been evaluated at an approved testing laboratory established under the Canadian Centre for Cyber Security. This certification report, and its associated certificate, apply only to the specific version and release of the product in its evaluated configuration.

This evaluation has provided the basis for the conformance claim documented in Table 1. The overall verdict for this evaluation is **PASS**. These results are supported by evidence in the ETR.

### 7.1 RECOMMENDATIONS/COMMENTS

It is recommended that all guidance outlined in Section 4.1 be followed to configure the TOE in the evaluated configuration.

It is recommended that the end-user familiarize themselves with the Oracle Documentation Library for Oracle VM Server for SPARC 3.6, the SPARC M8/T8 server and the Solaris 11.4 OS, and subsequently follow the instructions for secure initialization and operation given in guidance.

The end-user is further recommended to receive training on the secure use and operation of the TOE as it is a complex and powerful virtualization solution running on Solaris OS and the Oracle SPARC T8 platform. The security posture of the TOE relies on the end-user(s) being trained, capable and familiar with the operation of the SW and HW.

Oracle releases SRU (Support Repository Updates) on a regular cadence and the end-user is recommended to patch their product and any others installed within the TOE against known CVEs as patches are released by Oracle. The end-user is recommended to subscribe to Oracle Solaris security advisories to aid them in applying such patches in a timely manner.

## 8 SUPPORTING CONTENT

### 8.1 LIST OF ABBREVIATIONS

Term	Definition
CAVP	Cryptographic Algorithm Validation Program
CCTL	Common Criteria Testing Laboratory
CMVP	Cryptographic Module Validation Program
CSE	Communications Security Establishment
EAL	Evaluation Assurance Level
ETR	Evaluation Technical Report
IT	Information Technology
PP	Protection Profile
SFR	Security Functional Requirement
ST	Security Target
TOE	Target of Evaluation
TSF	TOE Security Function
VM	Virtual Machine
VS	Virtualization System

### 8.2 REFERENCES

Reference
Common Criteria for Information Technology Security Evaluation, Version 3.1 Revision 5, April 2017.
Common Methodology for Information Technology Security Evaluation, CEM, Version 3.1 Revision 5, April 2017.
Security Target Oracle VM Server for SPARC 3.6 and Oracle Solaris 11.4, 19 January 2024, v2.3
Evaluation Technical Report Oracle VM Server for SPARC 3.6 and Oracle Solaris 11.4, 25 January 2024, v1.3
Assurance Activity Report Oracle VM Server for SPARC 3.6 and Oracle Solaris 11.4, 25 January 2024, v1.3