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Oracle Watchlist Screening

Version 11.1.1.7.3 New Features Overview

Enterprise Data Quality
July, 2014

ORACLE
FUSION MIDDLEWARE
WATCHLIST SCREENING

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Compatibility

- Oracle Watchlist Screening 11.1.1.7.3 requires
  - Enterprise Data Quality 11.1.1.7.3 or later

    OR
  - Enterprise Data Quality 12.1.3 or later
- Batch screening integration with Siebel requires
  - Enterprise Data Quality Siebel Connector 11.1.1.7.3 or later
  - Siebel CRM or UCM version 8.1 or later

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Major New Features

- Improved Support for ‘Embedded Screening’ deployments
- Pre-integrated batch screening for Siebel
- Support for the Dow Jones Anti-Corruption list
- Expanded UI translation to 9 languages
- Case Management improvements

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Embedded Screening

- New match outputs simplifies integration with external **Case Management**
- New Private List Interface simplifies integration with external **List Management** and **additional watch lists**
- Allows use as an **embedded screening engine** for batch and real-time screening within a full AML or Compliance Suite

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Siebel Integration

- Built-in batch screening for master Account, Contact and Prospect data in Siebel CRM or UCM
- Ensures screening is working from the highest quality customer data

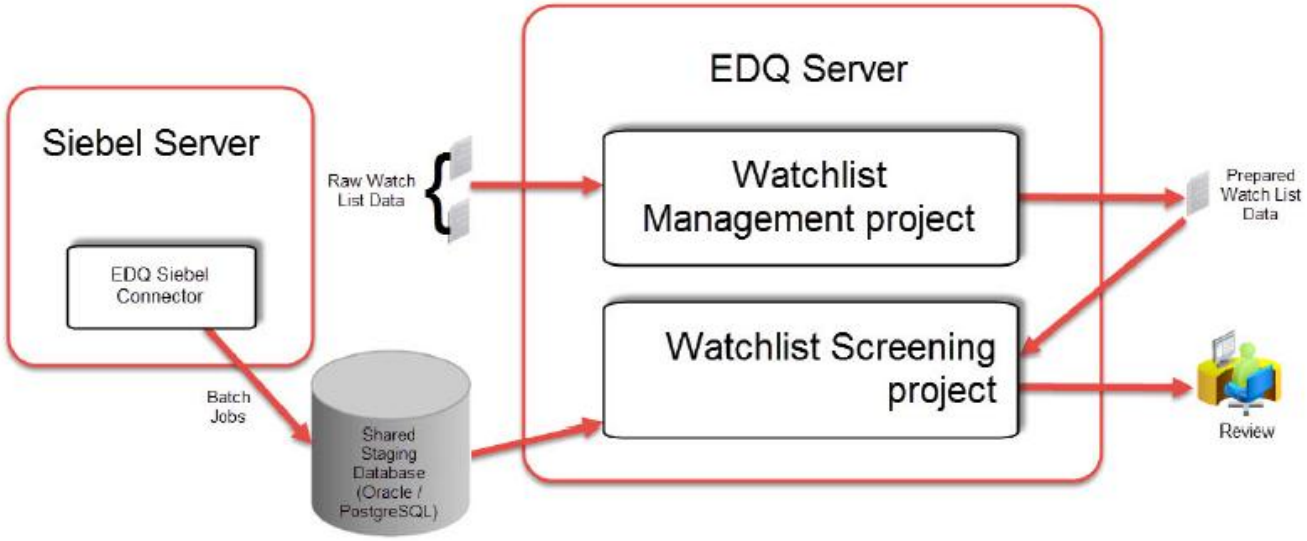

Figure 1: The EDQ Siebel Connector used with Oracle Watchlist Screening

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Dow Jones Anti-Corruption list

Watch List Management

- Out-of-the-box optimized screening against the Dow Jones Anti-Corruption List
- Subscription-based service complementing existing Dow Jones Watchlist
- Used for compliance with anti-corruption regulations such as:
  - UK Bribery Act
  - US Foreign Corrupt Practices Act

Dow Jones
Anti-Corruption

Out-of-the-box watch lists

- World-Check
- World-Check Premium
- Dow Jones Watchlist
- Dow Jones Anti-Corruption
- Accuity
- UK-HMT
- OFAC
- EU
- UN

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Globalization

Extended UI Translation

- Translation of all Watchlist Screening user interfaces
  - Configuration (Director), Server Console, Real-time Screening, Case Management
- Localizations now available for the following locales:
  - German (de)
  - English (en)
  - Spanish (es)
  - Italian (it)
  - French (fr)
  - Japanese (ja)
  - Korean (ko)
  - Brazilian Portuguese (pr_BR)
  - Simplified Chinese (zh_CN)
  - Traditional Chinese (zh_TW)

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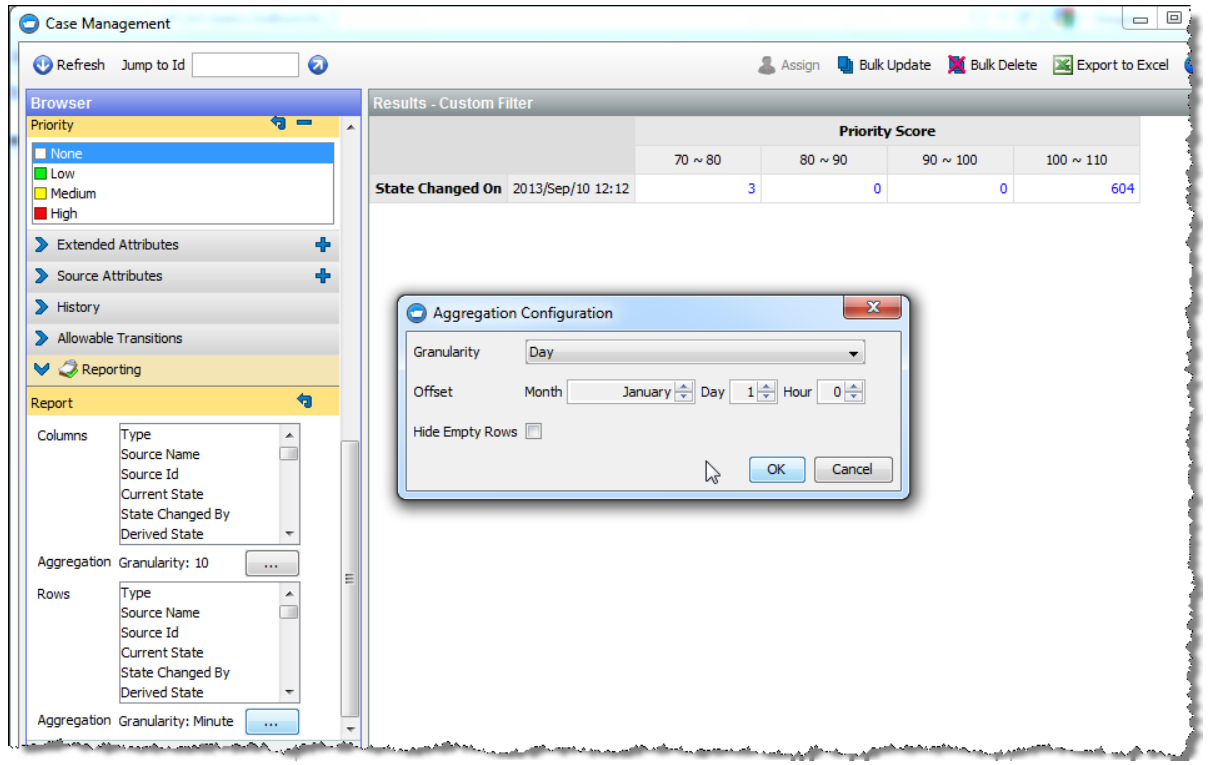
Case Management Improvements

Reports and filters

- Instant searching and operational reporting for large data volumes
- Aggregations (banding) in reports
- Search on history
  - Find all cases commented on by user
  - Find all cases transitioned in workflow by user
- Use negative search logic
  - Find all cases where value is NOT x



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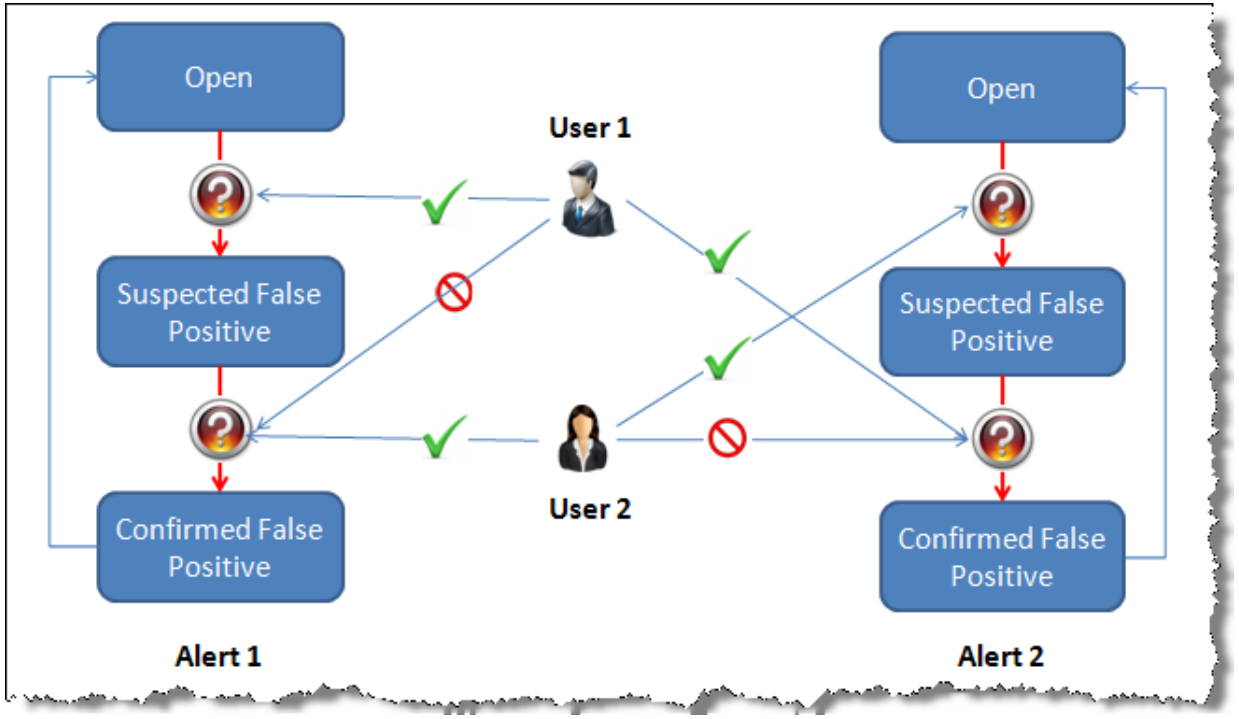
Case Management Improvements

Workflow enhancements

- Availability of transitions in workflows can be controlled using flexible conditions
  - Based on case/alert status
  - Whether the user has made 'blocker' transitions
- Allows enforcement of a '4-eye' review process, even where users have full permissions



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